

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	Protection Barrier System
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As the below named inventor(s), I/we declare that:

This declaration is directed to:

☒ The attached application, or

☐ Application No. \_\_\_\_\_ filed on \_\_\_\_\_

☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)	
Inventor one:	<u>C. Reed. DAVIS</u>
Signature:	<u>C. Reed Davis</u> Citizen of: <u>Knoxville, TN USA</u>
Inventor two:	<u>Richard G. McCOLL</u>
Signature:	<u>Richard G. McColl</u> Citizen of: <u>Knoxville, TN USA</u>
Inventor three:	_____
Signature:	_____ Citizen of: _____
Inventor four:	_____
Signature:	_____ Citizen of: _____

☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/81 (02-01)

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number

Filing Date

First Named Inventor

DAVIS, et al.

Title

Protection Barrier System

Group Art Unit

Examiner Name

Attorney Docket Number

28520.00

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Address

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Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).*

### SIGNATURE of Applicant or Assignee of Record

Name

Safety Barriers, Inc., Karen Davis, President

Signature

Karen Davis

Date

1-7-03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Safety Barriers, Inc.

Application No./Patent No.: \_\_\_\_\_ Filed/issue Date: \_\_\_\_\_

Entitled: Protection Barrier SystemSafety Barriers, Inc., a corporation of Tennessee

(Name of Assignee)

(Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

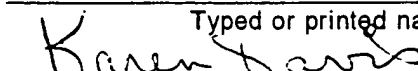
- ☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1-7-03  
Date

Karen Davis

Typed or printed name



Signature

President

Title

## ASSIGNMENT

I, the below-identified Inventor, for good and valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to

Safety Barriers, Inc., a corporation of Tennessee, domiciled in Knoxville, TN

(hereinafter "Assignee") , its successors, assigns, and legal representatives the entire right, title and interest throughout the world in and to all subject matter invented by me and disclosed in the application for a Letters Patent in the United States executed by me on the date hereinafter indicated entitled:

Protection Barrier System

and in and to all patent and all foreign, convention and treaty rights of all kinds, in all countries throughout the world, for all such subject matter or improvements therein. I agree to sign all papers necessary to secure all said patent rights, and request issuance of all said patents to Assignee in accordance with this assignment.

1-9-03

**Date**

C. Reed Davis

**Inventor**

C. Reed. DAVIS  
217 Boring Lane  
Knoxville, TN 37922  
Knox County, TN

IN THE COUNTY OF Knox )  
STATE OF Tennessee ) ss.:

I hereby certify that before me personally appeared C. Reed. DAVIS, personally known by me, who then and there was duly sworn by me, and under oath acknowledged that the foregoing instrument was duly signed, sealed and delivered by C. Reed. DAVIS on the date appearing at the foot thereof, all of which took place within my jurisdiction.

Nancy J. Smith  
NOTARY PUBLIC

My Commission Expires:

January 9, 2003  
April 01, 2006

## ASSIGNMENT

I, the below-identified Inventor, for good and valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to

Safety Barriers, Inc., a corporation of Tennessee, domiciled in Knox, TN

(hereinafter "Assignee") , its successors, assigns, and legal representatives the entire right, title and interest throughout the world in and to all subject matter invented by me and disclosed in the application for a Letters Patent in the United States executed by me on the date hereinafter indicated entitled:

Protection Barrier System

and in and to all patent and all foreign, convention and treaty rights of all kinds, in all countries throughout the world, for all such subject matter or improvements therein. I agree to sign all papers necessary to secure all said patent rights, and request issuance of all said patents to Assignee in accordance with this assignment.

1/9/03  
Date

Richard G. McColl  
Inventor  
Richard G. MCCOLL  
11408 Hickory Springs Dr.  
Knoxville, TN 37932-3113  
Knox County, TN

IN THE COUNTY OF Knox )  
STATE OF Tennessee ) ss.:

I hereby certify that before me personally appeared Richard G. MCCOLL , personally known by me, who then and there was duly sworn by me, and under oath acknowledged that the foregoing instrument was duly signed, sealed and delivered by Richard G. MCCOLL on the date appearing at the foot thereof, all of which took place within my jurisdiction.

Nancy J. Smith  
NOTARY PUBLIC

My Commission Expires:

January 9 , 2003  
April 1 , 2006

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Address

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State

Zip

Country

Telephone

Fax

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☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/196).*

### SIGNATURE of Applicant or Assignee of Record

Name

C. Reed. DAVIS

Signature

*C. Reed Davis*

Date

1-9-03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 2 forms are submitted.

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### SIGNATURE of Applicant or Assignee of Record

Name

Richard G. McCOLL

Signature

*Richard G. McColl*

Date

*1/9/03*

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